

Our Reference:

SPX Complaint Form

Please complete this form and attach any supporting documentation and send via one of the options below:

Investor Relations
South Pacific Stock Exchange
GPO Box 11689
Suva, Fiji Islands
Email: info@spse.com.fj

If you have queries regarding this form please contact Customer Service on +679 330 4130

Please choose the category(s) that the complaint relates to:

<input type="checkbox"/> Company Complaint	<input type="checkbox"/> Clearing & Settlement	<input type="checkbox"/> Broker Complaint
<input type="checkbox"/> Trading Activity Complaint	<input type="checkbox"/> South Pacific Stock Exchange	<input type="checkbox"/> Others <i>(Please Specify Below)</i>

SECTION 1: COMPLAINANTS DETAILS

Complainants First Name:

Complainants Surname:

Complainants Residential Address:	Complainants Postal Address:
<input type="text"/>	<input type="text"/>

Complainants Contact Details:

Home: (+)	Business: (+)	Mobile: (+)
-------------	-----------------	---------------

E-mail Address:

Shareholder Identification Number (SIN) *(If Applicable)*:

SECTION 2: THE COMPLAINT

The listed Entity your complaint relates to

Contact Person within the entity (*If applicable*)

Please provide full and specific details of the complaint including:

- Relevant dates
- Identification of the securities involved
- Instructions given
- Use and attach properly if you have used any extra pieces of paper

SECTION 3:

Have you lodged this complaint anywhere else? If so, please provide the following information **otherwise skip to Section 4**

Yes No

Who did you lodge your complaint with?

Who dealt with your complaint?

When was the complaint lodged?

What action was taken in relation to your complaint?

SECTION 4:

Do you consent to SPX contacting the other party regarding this complaint? *(If applicable)*

Yes No

--	--

Signature

Date

DOCUMENTS

If you have relevant documents please send us a copy. **Do not send us the original documents now.** Keep original documents in a safe place in case they are needed later

-----For Office Use Only-----

--	--

Date Complaint Resolved

Staff Handling Complaint

Result of Complaint

The information contained herein does not constitute financial product advice. You should obtain independent advice from a Licensed Broker or advisor before making any investment or financial decisions. Although SPX Limited has made every effort to ensure that the information contained herein is accurate and reliable, SPX does not give any warranty or representation as to the accuracy, reliability or completeness of the information. All SPX information and correspondence is intended for the exclusive use of the addressee, and must not be used or relied upon by others. SPX and its employees shall not be liable for any loss or damage arising in any way (including by way of negligence) from or in connection with any information provided or omitted, or from anyone acting or refraining to act in reliance on this information.

© Copyright 2011 South Pacific Stock Exchange Limited. All rights reserved 2011.