

Our Reference: _____

SPSE Complaint Form

Please complete this form and attach any supporting documentation and send via one of the options below:

Investor Relations

South Pacific Stock Exchange Limited

GPO Box 11689

Suva, Fiji Islands

Email: info@spse.com.fj

Fax: +679 330 4145

If you have queries regarding this form please contact Customer Service on +679 330 4130

Please choose the category(s) that the complaint relates to:

<input type="checkbox"/> Company Complaint	<input type="checkbox"/> Clearing & Settlement	<input type="checkbox"/> Broker Complaint
<input type="checkbox"/> Trading Activity Complaint	<input type="checkbox"/> South Pacific Stock Exchange	<input type="checkbox"/> Others (Please Specify Below)

SECTION 1: COMPLAINANTS DETAILS

Complainants First Name:

Complainants Surname:

Complainants Residential Address:

Complainants Postal Address:

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Complainants Contact Details:

Home: (+)	Business: (+)	Mobile: (+)
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E-mail Address:

Shareholder Identification Number (SIN) (If Applicable):

SECTION 2: THE COMPLAINT

The listed Company your complaint relates to

Contact Person within the entity *(If applicable)*

Please provide full and specific details of the complaint including:

- Relevant dates
- Identification of the securities involved
- Instructions given
- Use and attach properly if you have used any extra pieces of paper

SECTION 3:

Have you lodged this complaint anywhere else? If so, please provide the following information **otherwise skip to Section 4**

Yes No

Who did you lodge your complaint with?

Who dealt with your complaint?

When was the complaint lodged?

What action was taken in relation to your complaint?

SECTION 4:

Do you consent to SPSE contacting the other party regarding this complaint? *(If applicable)*

Yes No

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Signature

Date

DOCUMENTS

If you have relevant documents please send us a copy. **Do not send us the original documents now.** Keep original documents in a safe place in case they are needed later

-----For Office Use Only-----

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Date Complaint Resolved

Staff Handling Complaint

Result of Complaint

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